Application to amend the Australia New Zealand Food Standards Code to permit 2-methyloxolane as a processing aid

Pennakem Europa France

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INTRODUCTION

This application requests the Australia New Zealand Food Standards Code (the Code) be amended to permit the use of 2-methyloxolane (2-MeOx) as an extraction solvent processing aid in Australia and New Zealand.

Extraction solvents play a critical role in the processing and manufacture of foods, particularly plant-based foods. Extraction solvents are used to extract and separate components of foods, such as oil and protein from oilseeds. Extraction solvents are also used to extract other components, such as flavours, fragrances and colours.

Pennakem Europa (Pennakem) has developed 2-MeOx as a safe, renewable, biomass derived extraction solvent. 2-MeOx is produced from agricultural by-products such as corn stover, sugarcane bagasse and rice straw, presenting an appealing option for food manufacturers looking to use safe, sustainable chemicals in the production of food.

2-MeOx is an alternative to the widely used, petrochemically derived extraction solvent, hexane. Hexane is the predominant chemical extraction solvent used to produce plant-based food products, flavours, fragrances and colours. Hexane is permitted worldwide to be used as an extraction solvent processing aid, including in Australia and New Zealand. When hexane is used in the production of foods, it is permitted to be present as a residue at up to 20 milligrams per kilogram (mg/kg) in final food products. Extraction plants that currently use hexane as an extraction solvent will therefore be producing food products for sale in Australia and New Zealand that are compliant with the maximum permitted level of hexane of 20 mg/kg.

In order for these extraction plants to be able to transition to the use of 2-MeOx as a substitute for hexane, similar maximum permitted levels will initially be required for foods containing residues of 2-MeOx. That is, a maximum permitted level of 20 mg/kg for 2-MeOx in foods will facilitate the extraction plants transitioning from using hexane to using 2-MeOx as an extraction solvent. It is likely that actual 2-MeOx residue levels in food will be below 20 mg/kg, particularly as uptake in the use of 2-MeOx increases and due to organoleptic reasons described in this application.

Extraction plants that are purpose built to use 2-MeOx as an extraction solvent will result in lower residue levels of 2-MeOx in final food products. However, the cost of constructing a purpose built 2-MeOx extraction plant may initially be difficult to justify for food producers in Australia and New Zealand.

The European Food Safety Authority has recently published a positive opinion on the safety of use of 2-MeOx as an extraction solvent in the European Union.

Pennakem has provided information to support the application in accordance with the requirements of sections 3.1 (General requirements) and 3.3.2 (Processing aids) of the FSANZ Application Handbook.

3.1.1 GENERAL REQUIREMENTS

B Applicant Details

C Purpose of the application

The application requests amendment to section S18—8 of the Australia New Zealand Food Standards Code (the Code) to permit the use of 2-methyloxolane (2-MeOx) as an extraction solvent processing aid in Australia and New Zealand. Pennakem manufactures and markets 2-MeOx under the tradename EcoXtract®.

D Justification for the application

(a) Need for the proposed change

Section 1.1.1—10(6)(c) of the Code prohibits the use of processing aids unless expressly permitted. Section 1.3.3—10 permits substances listed in section S18—8 to be used as a processing aid to perform the technological purpose of an extraction solvent. 2-MeOx is not listed in section S18—8 and is therefore not permitted to be used as an extraction solvent processing aid. Therefore, section S18—8 requires amendment to list 2-MeOx as a permitted extraction solvent processing aid in Australia and New Zealand.

(b) Advantages over status quo

2-MeOx is bio-based, manufactured from by-products of sugar production, and provides an environmentally sustainable alternative to other permitted extraction solvents, many of which are petrochemically derived. Pennakem considers that reducing reliance on fossil fuel products, including petrochemically derived chemicals used in food production, is important for the environment. 2-MeOx provides an effective bio-based alternative to commonly used extraction solvents. 2-MeOx is fully miscible with lipids, making it particularly well adapted for vegetable oil

extraction and other defatting processes, which is a property not often associated with bio-based solvents.

2-MeOx is safe for use as an extraction solvent processing aid at the intended use levels proposed in this application. Pennakem has established a strong evidence base to support the safe use of 2-MeOx as an extraction solvent in food production. The full data package has been supplied with this application. The European Food Safety Authority (EFSA) has assessed the same data package and concluded that the use of 2-MeOx at the intended use levels proposed by Pennakem is safe, establishing a Tolerable Daily Intake (TDI) of 1 mg/kg body weight/day (EFSA 2022). The data package includes toxicological studies on rats (oral administration) compliant with the latest OECD standard (2017) which takes into account an endocrine disruption assessment. In that context, this data package offers an unprecedented level of safety for consumer protection. Some permitted extraction solvents in section S18—8 of the Code have not been subject to extensive safety assessments in some time and/or do not have an extensive amount of quality safety data, particularly relating to oral exposure resulting from residue levels present in foods.

FSANZ recognised this in its assessment of Proposal P277 in 2006, noting that hexane, for example, has very limited animal and human data in relation to metabolism and dietary exposure; insufficient to establish a safe level of exposure (such as an acceptable daily intake). The residues of these chemicals in processed foods, resulting from use as processing aids, has been considered insufficient to warrant any toxicological concern.

However, the International Council for Harmonisation of Technical Requirements for Pharmaceuticals for Human Use (ICH) has conducted assessments of hexane and 2-MeOx and classified 2-MeOx in a lower toxicity classification than hexane in the context of use as solvents in the preparation of pharmaceutical products. 2-MeOx is classified as a class 3 solvent, meaning 2-MeOx was considered to have low toxic potential. However, hexane is classified as a class 2 solvent, meaning its use should be limited (class 2 solvents are recommended to be limited in their use due to inherent toxicity), with a Permitted Daily Exposure (PDE) for humans 17 times lower than 2-MeOx. More information on the ICH classifications is included in section B.5.

D.1 Regulatory impact information

D.1.1 Costs and benefits of the application

a) Consumers

Although consumers may not be aware of which processing aids are used in food products (because processing aids generally do not require labelling), consumers will benefit from having access to foods produced using environmentally sustainable inputs, such as 2-MeOx. Pennakem is investigating organic certification in the EU relating to the use of 2-MeOx in the production of food and will also do so in Australia and New Zealand. This process is independent of the FSANZ application process. However, if organic status is considered appropriate for foods produced using 2-MeOx, consumers targeting certified organic foods may benefit from a greater range of foods than currently produced using other extraction solvents.

Another advantage of 2-MeOx for consumers is its safety. 2-MeOx has a recently established, comprehensive body of evidence relating to safety, which as noted above, is not always the case for some alternative extraction solvent processing aids. EFSA has recently provided a positive opinion regarding the safe use of 2-MeOx as a processing aid at the intended levels of use proposed in this application (EFSA 2022). Following EFSA's positive opinion, the European Commission is preparing a modification of the law (Directive 2009/32/CE) to formally approve the use of 2-MeOx as a processing aid in the European Union early in 2023. The approval of 2-MeOx as a permitted extraction solvent processing aid in Australia and New Zealand will mirror the pending approval in the EU, allowing consumers to have access to food products imported from the EU that have used 2-MeOx during processing.

Products that are manufactured using 2-MeOx may initially be more expensive for consumers than products produced using hexane. Pennakem estimates an additional 10% for the price of oil and meal produced using 2-MeOx compared to oil produced using established extraction solvents, such as hexane. This extra cost will give a payback for the investment the producer will need to do to switch from other extraction solvents to 2-MeOx. It will also cover the extra cost related to the implementation of a new process compared to hexane process, for example, which has been optimised for 70 years. Once the introductory phase is complete, the process cost will be only 2 to 3% above the cost of hexane extraction. However, the use of 2-MeOx will be less expensive than mechanical pressing of oilseeds. Where 2-MeOx is used as an alternative to mechanical pressing, oil prices are likely to be reduced for consumers.

b) Industry

2-MeOx presents an attractive extraction solvent option for producers of plant-based foods and food ingredients, such as oils and particularly plant proteins. The plant protein market has grown considerably in recent years as consumers look for more sustainable and ethical alternatives to animal-based proteins. 2-MeOx is equally effective at extracting oils and proteins from plantbased sources as the commonly used, petrochemically sourced extraction solvent, hexane. 2- MeOx is produced from agricultural by-products, a much more sustainable source than hexane and can assist producers in communicating to consumers the sustainability of foods produced using 2-MeOx. In term of greenhouses gas impact, an average petrochemical solvent has an impact of 2 kg CO₂/kg solvent. The 2-MeOx, thanks to his biobased by-product raw materials has an impact of 0.2 kg CO₂/kg solvent (Slater et al. 2016).

2-MeOx also presents a safer alternative to hexane in the context of workers exposure to chemicals in production plants that use extraction solvents. The safety profile of 2-MeOx is significantly more favourable than hexane, which is increasingly associated with adverse health effects in workers (exposed to hexane). Every year in the world, workers exposed to hexane still develop occupational diseases such as polyneuropathies. 2-MeOx is not neurotoxic and generally not toxic by inhalation. It has a much lower odor threshold than hexane and therefore allows for easy detection of any leakage and for adequate corrective action.

2-MeOx can be used for large scale processes and can substitute hexane in existing plants with limited impact on the cost of extraction. The implementation of 2-MeOx in hexane plants will reduce the environmental impact of said plants. The typical consumption of a hexane plant is around 200 T/year to compensate for losses in the food chain (50 T/year) and in the atmosphere (150 T/year). The substitution of hexane by 2-MeOx will eliminate the release of a neurotoxic volatile and the transfer of fossil carbon from the ground to the air.

c) Government

There should be limited cost impact on government food enforcement agencies if 2-MeOx is permitted to be voluntarily used as an extraction solvent processing aid in the production of food in Australia and New Zealand.

The substitution of hexane with2-MeOx could on the other hand have a positive impact on public health costs because several peer reviewed papers connect hexane (and its toxic metabolite, the 2,5-hexanedione) exposure to cryptogenic polyneuropathies, Parkinson disease, fertility issues for male and female subjects, neuro development issues on pups and endocrine disruption (Salamon et al. 2019, Ruiz-Garcia et al. 2020, EPA 2005).

D.1.2 Impact on international trade

This application is part of a coordinated process of Pennakem seeking approval for the use of 2-MeOx as a processing aid in international jurisdictions, including the European Union and the US. Permission in the Code to use 2-MeOx as a processing aid will facilitate international trade among jurisdictions in which 2-MeOx is already or soon to be permitted.

E Information to support the application

The application contains supporting information in accordance with the Application Handbook's requirements in Guideline 3.3.2 – Processing aids. Safety studies conducted in accordance with OECD guidelines have been conducted by Pennakem. In addition, a literature search for relevant publications was performed using various sites including: toxnet, PubMed, ECHA, EFSA, FDA, CIR and google scholar. Literature references were carefully reviewed for their robustness and compliance with guidelines for data endpoints.

2-MeOx has been evaluated by the European Food Safety Authority's (EFSA's) Scientific Panel on Food Contact Materials, Enzymes and Processing Aids (CEP) with a positive opinion on the safety of 2-MeOx as an extraction solvent being released in March 2022. 2-MeOx has also been evaluated under EC (No) 1907/2006 of the European Parliament and of the Council on the Registration, Evaluation, Authorisation and Restriction of Chemicals (REACH). The data from these evaluation forms the basis of this application.

F Assessment procedure

Pennakem considers the application should be assessed under the general procedure, level 1.

G Confidential commercial information (CCI)

The application contains confidential commercial information (CCI) relating to the manufacturing process, and some technical and toxicological studies and methods related to the product. This information is of commercial value to Pennakem and has not been publicly released to date. Public release of this information can reasonably be expected to diminish the commercial value of this information to Pennakem. Non-confidential summaries of this CCI information are provided in the application and the CCI information has been provided separately to FSANZ.

H Other confidential information

No other confidential information is included in this application.

I Exclusive capturable commercial benefit (ECCB)

Permission in Schedule 18 of the Code to use 2-MeOx as an extraction solvent processing aid is not expected to confer an exclusive capturable commercial benefit to Pennakem. The Application Handbook includes a number of factors to assist in considering whether an ECCB is likely to be conferred. Pennakem has addressed these factors below.

Question: Why are you making this application? What are you hoping to get out its approval? Response: Pennakem is making this application to seek permission in the Australia New Zealand Food Standards Code to use 2-MeOx as a solvent processing aid in Australia and New Zealand.

Question: How will you benefit from the approval of your application?

Response: Pennakem will benefit from selling 2-MeOx and licences related to the 2-MeOx extraction technology to food producers in Australia and New Zealand. Pennakem may also benefit from establishing facilities in Australia and/or New Zealand to produce 2-MeOx locally, rather than importing the processing aid from international production facilities.

Question: Who besides you, will benefit from the approval of your application? How and why will they benefit?

Response: Food producers seeking a 'greener' alternative to hexane will benefit from the availability and effectiveness of 2-MeOx. Producers of industrial chemicals may benefit if they can develop processes to produce 2-MeOx in accordance with approved specifications (in the Code or in reference sources listed in the Code). Hexane is not only used in extraction but also for instance in oil fractioning. If 2-MeOx is approved, food producers will also be able to use 2-MeOx that complies with approved specifications.

Question: If your application is approved, whose permission will be required before anyone can derive a benefit from that approval?

Response: Other parties can manufacture 2-MeOx and can develop processes to use 2-MeOx in the manufacture and processing of food products. Other parties may require permission from Pennakem if intending to use 2-MeOx in the patented context described in the next response.

Question: Who holds the intellectual property in the subject matter of your application? Response: Pennakem has submitted a patent (WO 2020/128307) in Australia for the extraction of oils rich in polyphenols from a biological substrate, however other food preparation processes could use 2-MeOx and are not part of this patent application.

J International and other standards

J.1 International standards

J.1.1. International Food standards

There are no international standards for processing aids. However, individual jurisdictions have relevant requirements which are outlined below in section J.2.2.

J.1.2 Other international standards

2-MeOx (CAS 96-47-9) under the name 2-methyltetrahydrofuran has been used for more than one decade in pharmaceutical applications. 2-MeOx was officially included by the pharmaceutical experts of the ICH Q3C working group in the low toxicity solvent list in 2021 (ICH Q3C R8 - 2021).

J.2 Other national standards or regulations

J.2.1 Australia and New Zealand

There are no relevant standards in Australia and New Zealand, other than the Code requirements for processing aids identified above.

J.2.2 International

United States

The approval of the 2-methyloxolane for food and feed application is ongoing in the USA. The first contacts with the FDA started in 2019 to define the regulatory path and additional toxicological studies needed. The path is clarified. Pennakem will apply for a Food Contact Substance for food application and for a Feed Additive Petition for the feed application.

The additional studies needed (tests on cow and hen, environmental assessment) were completed in 2020 and 2021. The final reports are pending. The filing is planned for later in 2022. Once filled the Food Contact Substance approval will only take 4 months to be granted. The Food Additive Petition will require 12 months for completion of the assessment.

European Union

Pennakem has submitted an application to the European Union (EU) for assessment of 2-MeOx as a processing aid. The European Food Safety Authority's (EFSA's) Scientific Panel on Food Contact Materials, Enzymes and Processing Aids (CEP) released a positive opinion on the safety of 2-MeOx as an extraction solvent and its maximum residue limits in March 2022. The positive opinion will support the modification of the European law, the Directive 2009/32/CE in the coming 6 months.

Other

Other food and feed approval will be launched in the coming months in other countries.

K Statutory Declaration

To be completed for final version of application.

3.3.2 PROCESSING AID REQUIREMENTS

A Technical information on the processing aid

A.1 Type of processing aid

2-MeOx is a chemical processing aid that functions as an extraction solvent. Extraction solvents are used in a variety of contexts in the production of food ingredients and foods. 2-MeOx is intended to be used to extract and separate oils and proteins from plant-based products, including oilseeds; and to extract other components including flavours, fragrances and colours.

The Code lists permitted extraction solvents in section S18—8. 2-MeOx is suitable for use as an alternative extraction solvent to hexane. Hexane is listed in section S18—8 and is permitted to be used as an extraction solvent in all foods (with a maximum permitted residue level in foods of 20 mg/kg).

A.1.1 Evidence of technological function of 2-MeOx as an extraction solvent

Pennakem has commissioned studies to assess the efficiency of oil and protein extraction and the quality of the resulting product. The quality of the extracted product has been assessed against product extracted by hexane, which is the current standard solvent used for these types of extractions. Key tests and reports are summarised below with additional detail provided in Appendix C. Additional information regarding the efficacy of 2-MeOx as an extraction solvent can be found in the scientific review by Rapinel et al. (2020).

A.1.1.1 Oil extraction

Pennakem commissioned a study to compare extraction and refining of soybean and rapeseed oils using hexane and 2-MeOx (OLEAD 2019 - CCI)). A summary of the study if included below. This study focused on comparing the influence of the solvents on the efficiency of the oil extraction and refining process. Experiments were carried out on two seeds: soybean and rapeseed. Extraction allowed producing four meals: two from whole rapeseed and two from soybean kernels extracted either by hexane or 2-MeOx.

The analysis of meals showed that 2-MeOx extracted slightly more oil than hexane. But these solvents did not significantly modify the protein content (on de-oiled dry matter), the protein solubility, or the glucosinolate content of meals. Oils were distillated, decanted and filtrated before refining. For both seeds, the crude oil extracted by 2-MeOx was darker and slightly more acidic than the one extracted by hexane.

Crude rapeseed oil was prepared by mixing oils from mechanical extraction and from solvent extraction while crude soybean oil was produced only by solvent extraction. Refining operations were similarly conducted for rapeseed and soybean oils. The refining was performed according to the classical alkaline refining method. It allowed reaching a similar oil quality independently of the solvent nature. Oil quality and losses appeared more dependent on the seed type than on the solvent choice.

A.1.1.2 Protein extraction

Pennakem commissioned a study to compare protein extraction and characterization from deoiled soy meal by hexane and 2-MeOx (Improve 2019 - CCI).

This project compared the 2-MeOx (2-MeTHF) and hexane de-oiled soybean cakes for the following quantitative parameters:

- Comparison of the two de-oiled soy cakes in terms of solubility profiles and Boisen's method digestibility;
- Comparison of the two de-oiled soy cakes in terms of protein extraction by isoelectric precipitation technic;
- Comparison of the two soy protein isolates in terms of technological properties.

The project concluded that the two protein isolates have very high purities, with a higher protein content for the 2-MeOx defatted isolate. Defatting with 2-MeOx does not significantly affect the viscosity of the isolate in solution and the sample retains very good gelling properties. The protein isolate defatted with 2-MeOx keeps correct emulsifying properties, even if they are lower than those obtained after defatting with hexane. Foaming properties are also lower for the 2-MeOx protein isolate. The 2-MeOx protein isolate has a higher water and oil retention capacity than the sample defatted with hexane.

A.2 Identity of the processing aid

Pennakem uses the common name of 2-methyloxolane to describe the processing aid in the context of its regulatory approvals and is abbreviated to 2-MeOx. Pennakem's proprietary name for 2-MeOx is EcoXtract® Food Grade (or EcoXtract®). In some of the data and reports presented in this application, 2-MeOx is referred to by its EC name of Tetrahydro-2-methylfuran, or as the abbreviation 2-MeTHF. However, Pennakem proposes the common name 2-methyloxolane and abbreviation, 2-MeOx be used in future when referring to the processing aid. Additional detail on the identity of 2-MeOx is included below.

A.3 Chemical and physical properties of the processing aid

Table A.3-1 gives an overview of the physicochemical properties of the substance in its pure form and the evaluation undertaken for each endpoint. 2-MeOx is a liquid at standard temperature and pressure, with a measured melting point of <-20°C and a measured boiling point of 78°C. It has a measured relative density of 0.855 at 20 $^{\circ}$ C and measured kinematic viscosities of 0.576 mm²/s and 0.484 mm²/s at 20°C and 40°C respectively. The substance has a vapour pressure of 102 mmHg at 20°C.

The substance is classified for flammability as a highly flammable liquid in accordance with EC Regulation 1272/2008 on the basis of a measured flash point of -10°C and a measured boiling point of 78°C. It has a measured auto-ignition temperature of 260°C. The substance is not oxidising and neither explosive on the basis of structural examination.

Based on structural examination, 2-MeOx is not susceptible to hydrolytic degradation. It has a predicted log K_{ow} of 1.85 at 25°C, and a reported water solubility of 140 g/l. The substance is not surface active based on structural examination.

A full summary of each study listed in Table A.3-1 is provided in Appendix D.

Table A.3-1. Summary of Physio-chemical properties of 2-MeOx

A.3.1 Stability

The 2-MeOx remains stable in a wide temperature and pH range (1 to 14). Like ethers or edible oils, the unstabilised 2-MeOx reacts readily with oxygen, for example on contact with air, to form hydroperoxides (Figure A.3.1-1, Figure A.3.1-2), high boiling point organic acids. The kinetic energy is quite low: it takes at least 4 days for non-stabilised dry 2-MeOx, stirred and exposed to air, to reach detectable levels of peroxides (10 parts per million (ppm) H_2O_2 Eq.).

Pennakem uses an iodine titration method to measure peroxide levels. Details of the method have been provided to FSANZ however the method is commercial in confidence to Pennakem (TA-002-04 - CCI). Peroxides are unstable compounds and decompose continuously into Gammavalerolactone and 5-Hydroxy-2-pentanone. After a while a dynamic equilibrium is established between reformation and decomposition of the peroxides.

Figure A.3.1-2 the formation of hydroperoxides in contact with O²

The Gamma-valerolactone (GVL), is a flavour (FEMA¹ GRAS Number 3103) which tastes like herb. The 5-Hydroxy-2-pentanone is not FEMA registered but its close cousin, 3-Hydroxy-2 pentanone (FEMA 3550) tastes like Herb and Truffle. The formation of hydroperoxides can be largely inhibited if the product is in contact with certain stabilizers. Typical stabilizers are BHT (3,5-di-ter-butyl-4-hydroxytoluene), tocopherol (150 ppm) or water (3 to 4 %). To prevent the peroxides development, Pennakem adds 300 ppm of BHT or 150 ppm Tocopherol to its product and packages them under nitrogen. To avoid the risk of peroxide formation Pennakem instructs users to test any aged product for peroxide content and to re-stabilize the product prior to storage.

All the considerations above are related to the use of the 2-MeOx for any application. They fully apply for the use of the 2-MeOx as extraction solvent for food in batch processes. The continuous process used for the oil and protein extraction is particularly well designed to prevent the development of peroxides in the 2-MeOx.

• The presence of natural water and antioxidants (natural polyphenols and tocopherols) in the seeds inhibits the formation of peroxides.

• The solvent water blend/mix is separated from the extract by distillation every two to four hours for re-use in subsequent extractions. The peroxides have no time to reach concentration above 100 ppm in the solvent (more in the application part). Any trace peroxide potentially formed remains with the extract phase and is then removed by subsequent refining operations. In this type of operation, peroxides cannot accumulate in 2-MeOx.

• In this type of process, 2-MeOx is not dried. It is never subject to distillation near dryness.

• For this type of process, in the case of a plant shut down or solvent storage of more than 3 or 4 days, re-stabilization by addition of 150 ppm Tocopherol or storage under nitrogen only is recommended.

• If the product has not been re-stabilized or stored under nitrogen, it is recommended to check peroxide content before reuse and, if that content is more than 100 ppm, it is recommended to add edible oil to the solvent and purify the solvent by distillation. The peroxide will concentrate in the edible oil and can be destroyed later (VR 0023 – peroxides destruction).

• A test was carried out at Dekra (Chilworth) in 2020 to check the safety of 2-MeOx with high peroxide concentration. Dekra exposed the 2-MeOx to air and reached 2000 ppm peroxide. Then they distillated the solvent to reach 5595 ppm peroxides. The DSC result on that 2-MeOx shows that the peroxides degrade at 70°C and that even with 0,5% peroxide, the 2-MeOx never present any safety risk for the user because the maximum heat of decomposition 48,71 J/g remain well below 300 J/g (the limit for the screening of explosive properties) and it is negative to the choc test. The reports from both tests have been provided to FSANZ however these are commercial in confidence to Pennakem (Dekra (2020) DE27587AR and Dekra (2020) DE27587RP - CCI).

In any case, even if the 2-MeOx is not used or stored under nitrogen, storage conditions and use must comply with ATEX environment as it is flammable.

¹ FEMA = Flavor and Extract Manufacturers Association of the United States

The oil extraction industry understands how to deal with peroxides, prevent their development or remove them as part of the oil refining process because peroxides naturally occur in the oils. For instance, olive oil typically should have less than 10 mEqO2/kg oil which is equivalent to less than 5 mmol/kg or less than 160 ppm peroxides (in active oxygen). Stability data for 2-MeOx stabilised with 275 ppm butylated hydroxytoluene (BHT) following storage for 1 year (ambient temperature) and for 2 years (constant temperature) under nitrogen showed no deterioration or increase in peroxide. Similarly, 2-MeOx stabilised with tocopherol for one year showed no deterioration or increase in peroxide.

The data clearly demonstrates that with an appropriate stabiliser there is no degradation of 2-MeOx. For the product EcoXtract® Food Grade, tocopherol is the preferred solution as the stabiliser.

The studies are summarised below.

$A.3.1.1$ One year stability study (ambient temperature 0-350°C)

Study title: Stability of 2-MeOx Study Reference: Pennakem internal report Author: Jackson J Date: 08/01/2019 $GLP:no$ Substance: 2-MeOx with 275 ppm butylated hydroxytoluene or with 150 ppm tocopherol Container: Glass under Nitrogen and Carbon Steel under nitrogen Temperature: Outside ambient $(0 - 35^{\circ}C)$ Duration: 1 year Method of Analysis: Gas Chromatography

Methods:

The tests were conducted to to determine the stability of 2-MeOx stored at ambient temperature in glass and glass with carbon steel. Studies were performed on both tocopherol and butylated hydroxytoluene (BHT) inhibited materials. Four samples of 2-MeOx were stored under nitrogen in 1 quart glass jars at 20 - 25°C for 54 weeks. The assay of each sample was monitored over the course of storage using methods to detect 2-MeOx (TA-002-01 – CCI), BHT (TA-002-03 – CCI) and peroxide (TA-002-04 – CCI). Each of these methods has been provided to FSANZ however are commercial in confidence to Pennakem.

Sample ID	Container	Carbon Steel Added	Nitrogen Inerted	Inhibitor
FJ-020-94	1 Quart Glass Jar	No	Yes	BHT
FJ-020-95	1 Quart Glass Jar	Yes $(0.25 g)$	Yes	BHT
FJ-027-23	1 Quart Glass Jar	No	Yes	Tocopherol
FJ-027-24	1 Quart Glass Jar	Yes $(0.25 g)$	Yes	Tocopherol

Table A.3.1.1-1. Storage conditions and sample details for 1 year stability study

Results:

Compound	Stored in		0 wk	2 wk	4 wk	6 wk	8 wk	10 wk	14 wk
glass		GC % assay	99.96 9	99.96 $\overline{2}$	99.95 $\overline{2}$	99.95 6	99.93 9	99.96 8	99.94
2-MeOx	(ID: FJ-020- 94)	peroxide (ppm)	O		0				
$+276$ ppm BHT	carbon steel	GC % assay	99.96 9	99.96 4	99.95 9	99.94	99.93 9	99.95 7	99.94
	(ID: FJ-020- 95)	peroxide (ppm)	O		O				
Compound	Stored in		16 wk	18 wk	20 wk	22 wk	24 wk	26 wk	28 wk
	glass	GC % assay	99.93 9	99.96 6	99.93 7	99.96 5	99.96 5	99.96 3	99.96
2-MeOx $+276$ ppm	(ID: FJ-020- 94)	peroxide (ppm)	O		O		O		
BHT	carbon steel	GC % assay	99.93 9	99.93 7	99.93 7	99.94 2	99.94 3	99.96 2	99.92 9
	(ID: FJ-020- 95)	peroxide (ppm)	O		0		$\mathbf 0$		
Compound	Stored in		30 wk	32 wk	34 wk	36 wk	40 wk	42 wk	44 wk
	glass	GC % assay	99.96	99.96 6	99.94 6	99.95 6	99.95	99.93 7	99.95 8
(ID: FJ-020- 94) 2-MeOx $+276$ ppm BHT		peroxide (ppm)		$\mathbf{0}$		O		0	
	carbon steel	GC % assay	99.96 2	99.96 6	99.95 7	99.95 9	99.95 8	99.96	99.96 $\mathbf{1}$
	(ID: FJ-020- 95)	peroxide (ppm)		0		0		0	
Compound	Stored in		46 wk	48 wk	52 wk	54 wk		54 wk	
	glass	GC % assay	99.95 5	99.95 9	99.95 8	99.94 9	BHT	249	
2-MeOx $+276$ ppm	ID: FJ-020- 94)	peroxide (ppm)	O		O	O			
BHT	carbon steel (ID: FJ-020-	GC % assay	99.96	99.94 6	99.95 8	99.95 5	BHT	250	
	95)	peroxide (ppm)	0			$\overline{0}$			
Compound	Stored in		0 wk	12 wk	24 wk	36 wk	54 wk		
	glass	GC % assay	99.93 7	99.95 7	99.94 2	99.92 9	99.92 1		
2-MeOx	(ID: FJ-027- 23)	peroxide (ppm)	0	0	0	$\mathbf{0}$	0		
+Tocoherol	carbon steel	GC % assay	99.93 7	99.95 9	99.94 3	99.93	99.92 $\overline{2}$		
(ID: FJ-027- (24)		peroxide (ppm)	O	$\mathbf 0$	0	\mathbf{O}	$\mathbf 0$		

Table A.3.1.1-2. One Year Storage under nitrogen - % 2-MeOx

Conclusion: 2-MeOx inhibited with 275 ppm butylated hydroxytoluene or with 150 ppm tocopherol remains stable at ambient temperature over the period of 1 year when stored in glass or carbon steel

$A.3.1.2$ Two-year stability data

Study title: Stability of 2-MeOx Study Reference: Pennakem internal report Author: Jackson J Date: 08/01/2019 GLP: Substance: 2-MeOx with 150 - 300 ppm butylated hydroxytoluene Container: Glass under Nitrogen Temperature: Constant 23⁰C Duration: 2 years, single analysis point Method of Analysis: Gas Chromatography

Results:

Lot no.	original analysis date	% assay	peroxide (ppm)	Reanalysis date	% assay	peroxide (ppm)
2-6A08	Jan. 2016	99.98		Mar. 2018	99.97	
$2 - 6B12$	Feb. 2016	99.95		Mar. 2018	99.95	0
2-6B26A	Feb. 2016	99.99		Mar. 2018	99.98	0
2-6B29	Feb. 2016	99.98	0	Mar. 2018	99.93	0
$2 - 6C23$	Mar. 2016	99.95		Mar. 2018	99.96	0

Table A.3.1.2-1. Two Year Storage under nitrogen at 23°C in glass

Conclusion: 2-MeOx with 250 - 300 ppm butylated hydroxytoluene remains stable at 23° C temperature over the period of 2 year when stored in glass.

$A.3.1.3$ Recovery of 2-MeOx

The 2-MeOx can be recovered easily from off-gas streams and miscellas, making it suitable for closed-loop processes designed to save resources and protect the environment. The 2-MeOx has 6 to 20 % solubility in water, depending on water temperature and is therefore compatible with a water scrubber. In case of distillation with water, 2-MeOx forms an azeotrope (10.6 % water). In the condensed azeotrope, the water concentration can be reduced to 4 to 4,5% (depending on the temperature) by simple decantation. The 2-MeOx can be used either in wet (with water > 300 ppm) or dry form for the extraction.

In case of long-term storage of the 2-MeOx between two distillations (> 70 hours) and without contact with natural raw material (which naturally brings antioxidants), or nitrogen blanket, 150 ppm tocopherol should be added to the product within the first 50 hours of storage to prevent the formation of peroxides.

Information on the packaging, transport and storage of 2-MeOx is provided in Appendix E. This information is provided to all Pennakem customers using 2-MeOx and is taken from Pennakem's Product Data and Handling Guidelines (Pennakem 2016 - CCI).

A.3.1.4 Preventing peroxide formation

As discussed in section A.3.1, the 2-MeOx stabilized with BHT or tocopherol can be stored for up to 2 years without significant peroxide formation. To prevent the peroxide development and always keep a high purity product, some simple measures can be taken.

- 1. Keep the product in its original package as long as you do not need it. Pennakem deliver a stabilized product in Nitrogen blanketed drum with 2 years proven shelf life
- 2. In case of storage for more than 70 hours, Pennakem recommends adding stabiliser if the product has been distilled previously (which removes the stabiliser).
- 3. Never store the product in less than half full storage.

In case of storage issue, Pennakem provides to its customers a method to measure the peroxides (Eurofins 2019) and a protocol to remove the peroxides from the product before use (Pennakem 2019). The freshly distilled 2-MeOx is peroxide free as the peroxides and the organic acid have a high boiling point. As the peroxide is not present for up to 100 hours, there is no opportunity for the degradation products to form as the extraction process, whether continuous or batch, requires a distillation every 2 – 3 hours.

Analysis of oils produced from rapeseed and soybean (the same oils as produced for Project number AC-189-050: Comparative tests of extraction and refining of soybean and rapeseed oils depending on solvent: hexane or 2-MeOx. See section 1.3.3.1) were analyzed for GVL. GVL was chosen as an indicator of the presence of peroxide decomposition in the oil because of the low LOQ of its quantification method. Four oils were analysed and all levels were below 1 ppm (0.0001%) (Institut Des Sciences Analytiques, 2019).

A.3.1.5 Fate of residues in food

2-MeOx is not deliberately added to food. All industrial extraction processes are designed to maximise recycling of the extraction solvent. However, some residual substance is still present in the extracted products: refined oils, plant proteins or natural extract (hop extract, carotenoid from algae, chlorophyll). Based on application trials, the residual will be less than one ppm in the refined oil, and in liquid food and beverages, and less than 10 ppm in solid food. Ingested 2-MeOx will be rapidly metabolised and excreted via the kidneys or lungs, according to tests on mice and rats. There is no indication that any bioaccumulation will occur.

The data presented in this dossier confirms that oral exposure to 2-MeOx or its degradation products is not of concern in the low levels present in food.

A.4 Manufacturing process

The manufacturing process for Pennakem's EcoXtract is provided at Appendix F (CCI). A brief, non-confidential summary of the manufacturing process is included here.

2-MeOx is a cyclic ether, issued from carbohydrates derived from lignocellulosic biomass, which represents the most abundant biomass resources on earth. The term lignocellulosic covers a range of biomass containing cellulose and hemicellulose (polysaccharides), and lignin (aromatic polymer), making a rigid, compact, and complex assembly of polymers naturally recalcitrant to microbial and enzymatic degradation. The content of cellulose is generally in the range of 29-45%- and hemicellulose in the range of 18-30%. Industrially, 2-MeOx is produced from agricultural by-products such as corn stover, sugarcane bagasse and rice straw which could be found mainly in China, South Africa and Dominican Republic. Agricultural by-products such as lignocellulosic biomass are selected because they do not compete with the use of land for the production of food.

First, harsh acidic pre-treatment is required for deconstructing lignocellulose to make polysaccharides into more accessible intermediate sugars for subsequent conversions. After separation of the solid residue (from lignin), the acidic solution containing a mixture of both hemicellulose and cellulose is subject to hydrolysis of the polymers into monomeric C5 (pentoses) and C6 (hexoses) sugars. Then, C6- and C5- monosaccharides undergo multiple acid-catalyzed reactions to give the platform molecules levulinic acid (LA) and furfural (FAL) which are used as the building blocks for the synthesis of 2-MeOx.

$A.5$ **Specification for identity and purity**

EcoXtract® Food Grade is composed of 2-MeOx, a single substance with a purity of > 99.9 % v/v; it is stabilised with 150 ppm (0.015%) food grade mixed tocopherols. Other antioxidants have also been used (for example, BHT) and the important criteria is the presence of sufficient antioxidant to ensure shelf-life stability. The applicant would prefer not to state the antioxidant to be used, but to base the specifications on the purity and stability of the product.

2-MeOx is a highly pure product, there are no impurities present at greater than 0.1%. Very low levels of impurities may be present as a result of the manufacturing process. However, these levels are extremely low and do not present any quality or safety concerns. Pennakem has provided a confidential list of potential impurities to FSANZ, including results of batch analyses for these impurities (Appendix G - CCI). Pennakem has asked FSANZ to treat this list as commercial in confidence because the list of impurities may provide competitors with knowledge of elements of the confidential manufacturing process. As noted above, these impurities are present at very low levels and do not present a safety concern to consumers. Pennakem has completed a dietary exposure estimate for these impurities to substantiate that the very low levels do not present a safety concern. The results of the dietary exposure assessment are also included in Appendix G (CCI), but for the reasons explained above, the detail of the assessment is confidential to Pennakem.

To assure quality and safety the following specifications are proposed for 2-MeOx (Table A.5-1). Additional information about the methods is given below the table.

Table A.5-1. Specification for 2-MeOx, analysis conducted on finished product (every 5tonne batch)

Substance	unit	specification	method	rationale
2-MeOx	$%$ (w/w)	>99.9%	TA-002-01	confirm purity
Total of impurities	$%$ (w/w)	$< 0.1\%$		Control impurities
Moisture	$%$ w/w	$< 0.03 \%$	TA-002-02	amount of water present
Peroxides	$%$ w/w active oxygen	< 1 ppm	TA-002-04*	storage stability

* some dissolved metals (eg $Cu+2$ and Fe $+3$) will also give a positive result.

Depending on the antioxidant added, BHT or tocopherol measurement is outlined below.

Additional information about the methods relevant to the specifications for 2-MeOx²:

Analysis of three batches of 2-MeOx for heavy metals found no notable differences between the three batches (Environmental Testing and Consulting, Inc, Memphis, USA; 2013). Table A.5-2 lists the results of these analyses.

² Detail for each of these methods is provided in the relevant references, but is commercial in confidence

Metal (total	unit	Sample ID			
amount)		D ₂₀ -South MeTHF	MeTHF ₂₋ 2B07N Tocopherol	MeTHF ₂ - 2M27 BHT	
Aluminium	µg/kg	< 500	500	500	
Arsenic	µg/kg	5.00	5.00	5.00	
Calcium	µg/kg	< 500	500	< 500	
Cadnium	µg/kg	5.00	5.00	5.00	
Chromium	µg/kg	5.53	5.00	5.50	
Cobalt	µg/kg	5.00	5.00	5.00	
Copper	µg/kg	5.00	5.00	5.00	
<i>iron</i>	µg/kg	< 500	500	< 500	
Lead	µg/kg	5.00	5.00	5.00	
Magnesium	µg/kg	500	500	< 500	
Manganese	µg/kg	5.00	5.00	5.00	
Mercury	mg/kg	< 0.0133	< 0.0133	< 0.0133	
Nickel	µg/kg	32.5	5.00	5.00	
Potassium	µg/kg	< 500	500	500	
Silver	µg/kg	5.0	5.00	500	
Sodium	µg/kg	< 500	< 500	< 500	
Zinc	µg/kg	25.0	25.0	25.0	
Lithium	mg/kg	< 0.050	< 0.050	< 0.050	

Table A 5-2 Heavy Metal analysis

It is concluded that 2-MeOx has very low levels of heavy metals and that as the level of 2-MeOx in food will be <20 ppm then the heavy metal levels will be several orders of magnitude below the maximum levels for metal contaminants listed in section S19-4 of the Code. The use of 2-MeOx as an extraction solvent processing aid will therefore not present a safety concern in relation to heavy metal levels in foods. Additional limits or tests for heavy metals are not proposed by Pennakem.

$A.6$ **Analytical method for detection**

2-MeOx will be used to extract lipids from oil rich biomass and defat protein rich biomass. It will also be used to extract natural aroma, flavours and colorants, particularly the lipophilic ones currently extracted with hexane (for example, hop, annatto, carotenoids, chlorophyl).

Although the majority of the product is removed and recovered in the process, there is the potential for residual 2-MeOx to be present in the refined oil, in plant proteins and in food additives. To control the products, we defined and validated different protocols to measure the solvent residue in different matrices:

- In oil or lipophilic liquids (crude oil, refined oil)
- In powders (plant defatted meals, plant protein isolate)

A.6.1 Refined Oils

A method for the analysis of 2-MeOx in refined oils was developed and validated by ITERG, France (ITERG 2019a). The analysis was performed by head space gas chromatography following desorption in a sealed flask by heating at 80 0C. The method was quantified for 1 to 10 mg 2-MeOx/kg of oil. The method was validated as follows (Table A.6.1-1):

Table A.6.1-1. Method validation for detection of 2-MeOx in refined oils

Parameter	Result
Range of application	1 to 10 mg/kg
specificity in refined oil	no interference
linearity $1 - 10$ mg/kg	$R^2 = 0.9993$
Recovery (refined soybean oil) triplicate samples in each of 5 trials at 0, 1, 5 and 10 mg/kg)	$81 - 118%$
Recovery (refined rapeseed oil) triplicate samples in each of 5 trials at 0, 1, 5 and 10 mg/kg)	$85 - 118%$
Overall recovery	within 70 - 120 % validation criteria
Repeatability (refined soybean oil) RSD (%) for 1, 5 and 10 mg/kg	2.5, 2.1, 1.3
Repeatability (refined rapeseed oil)	2.1, 6.9, 1.6
Reproducibility (refined soybean oil)	3.0, 16.3, 11.7
Reproducibility (refined rapeseed oil)	2.0, 8.0, 12.8
Repeatability and reproducibility RSD	values comparable to the precision data given in the standard NF T 60-257

Figure A.6.1-1. Chromatogram showing the specificity of 2-MeOx (MeTHF) in refined oil

A.6.2 Crude oils

A method for the analysis of 2-MeOx in crude vegetable oils was developed and validated by ITERG, France (ITERG 2019b). The analysis was performed by head space gas chromatography following desorption in a sealed flask by heating at 80 °C. The method was quantified for 50 to 1000 mg 2-MeOx/kg of oil. The method was validated as follows (Table A.6.2-1):

Parameter	Result
Range of application	50 to 1000 mg/kg
specificity in refined oil	no interference
linearity $1 - 10$ mg/kg	$R^2 = 0.9994$
Recovery (crude rapeseed oil) triplicate samples in each of 5 trials at 0, 50, 200, 500 and 1000 mg/kg)	$89.8 - 109.9\%$
Overall recovery	within 70 - 120 % validation criteria
Repeatability (crude rapeseed oil) RSD (%) for 50, 200, 500 and 1000 mg/kg	1.1, 0.9, 1.1, 1.1
Reproducibility (crude rapeseed oil)	4.1, 5.2, 3.4, 2.9
Repeatability and reproducibility RSD	< 20%

Table A.6.2-1. Method validation for detection of 2-MeOx in crude oils

Figure A.6.2-1. Chromatogram showing the specificity of 2-MeOx (MeTHF) in crude oil

A.6.3 Plant defatted meals

The following two methods were used to analyse the amount of residual 2-MeOx remaining in the dried solid residues that are obtained after solvent extraction (defatted vegetable samples). The second method was developed in order to reduce the limit of detection to below 10 ppm (mg/kg).

a) Method on GC-FID

A method for the analysis of 2-MeOx in plant defatted meals was developed and validated by ITERG, France (ITERG 2019c). The analysis was performed by head space gas chromatography following desorption in a sealed flask by heating at 80 $^{\circ}$ C. The method was quantified for 200 to 1000 mg 2-MeOx/kg of meal. The method was validated as follows (Table A.6.3-1):

Parameter	Result
Range of application	200 to 1000 mg/kg
specificity in refined oil	no interference
linearity 50 - 1000 mg/kg soybean meal	$R^2 = 0.9995$
linearity 50 - 1000 mg/kg rapeseed meal	$R^2 = 0.9998$
Recovery (soybean meal) triplicate samples in each of 5 trials at 50, 200, 500 and 1000 mg/kg)	$81 - 132%$ 81 - 102 % excluding 50 mg/kg
Recovery (rapeseed meal) triplicate samples in each of 5 trials at 50, 200, 500 and 1000 mg/kg)	$81 - 127 \%$ 81 - 103% excluding 50 mg/kg
Overall recovery	within 70 - 120 % validation criteria for 200 - 1000 mg/kg only
Repeatability (soybean meal) RSD (%) for 50, 200, 500 and 1000 mg/kg	0.9, 0.7, 1, 0.7
Repeatability ((rapeseed meal)	3.6, 107, 0.8, 0.9
Reproducibility (soybean meal)	9.4, 5.9, 5.9, 6.9
Reproducibility ((rapeseed meal)	12.8, 7.2, 6.9, 7.3
Repeatability and reproducibility RSD	values comparable to the precision data given in the standard NF EN ISO 8892

Table A.6.3-1. Method validation for detection of 2-MeOx in plant defatted meals (GC-FID)

Figure A.6.3-1. Chromatogram showing the specificity of 2-MeOx (MeTHF) in meal

This method is suitable for the analysis of 2-MeOx in vegetable meals.

 \mathbf{b} Method on GC-MS-MS

To reach a better sensitivity in the $[0 - 200$ ppm] range, a method for the analysis of the 2-MeOx in meal was developed using a static headspace-GC-MS method by RIC, Belgium (RIC 2020 -CCI). In this method the column used for analysis was changed and two calibrations runs

(1 - 100 ppm or 50 - 1000 ppm) were used to increase accuracy. It was also confirmed that an aqueous preparation of the dried samples, including ultrasonic treatment to aid dispersion, increased accuracy and recovery. The method was validated as follows (Table A.6.3-2):

Table A.6.3-2. Method validation for detection of 2-MeOx in plant defatted meals (GC-MS-MS)

Parameter	Result
Range of application	1 to 1000 mg/kg
specificity in	no interference
linearity $1 - 100$ ppm	$R^2 = 0.998$
accuracy $(2.5 - 100$ ppm)	$80 - 120%$
Limit of detection	1 ppm
accuracy at 1ppm	$70 - 90 %$
RSD at 1 ppm	$< 20\%$
Repeatability (2.5 - 20 ppm)	$<$ 10 % RSD
linearity $50 - 1000$ ppm	$R^2 = 0.998$
$accuracy (50 - 1000 ppm)$	$85 - 105 \%$
Repeatability (50 - 1000 ppm)	$< 5\%$ RSD

Figure A.6.3-2. Chromatogram of standard mixture on 30 m x 0.25 mm ID x 1 um HP1-MS.

The identified peaks in Figure A.6.3-2 are listed in Table A.6.3-3.

Different samples were prepared with three different matrices (soy, sunflower and canola), with or without traces of 2-MeOx, and with different levels of 2-MeOx to cover the targeted range (Table A.6.3-4). Results from each of the samples is provided in Table A.6.3-5.

RIC ID	Nature	Defatting solvent	Extraction method	Drying method
Sample 1	Defatted soy flakes	Hexane	Batch (80 kg sample) by OLEAD	Under vacuum - 50 °C
Sample 2	Defatted soy flakes	$2-MeOx$	Batch (80 kg sample) by OLEAD - 2019	Under vacuum - 50 °C
Sample 3	Defatted canola meal	2-MeOx	Continuous extraction ENAT - 2020	Desolventizer- Toaster - Big bag 25
Sample 4	Defatted canola meal	2-MeOx	Continuous extraction ENAT - 2020	Desolventizer- Toaster - Big bag 35
Sample 5a	Defatted sunflower meal	Hexane	Batch (80 kg sample) by OLEAD - 2019	Under vacuum - 50 °C
Sample 5b	Defatted sunflower meal- grinded	Hexane	Batch (80 kg sample) by OLEAD - 2019	Under vacuum - 50 °C

Table A.6.3-5. Results of 6 samples used for method validation - detection of 2-MeOx in plant defatted meals

The hexane defatted samples were used to produce additional samples by spiking with pure 2-MeOx. The results of the validation work shows that the method has a reliable limit of detection of 1 ppm for all the matrixes. The defatted soy, canola (rapeseed) and sunflower meal are typically used for animal feed. The defatted soy dried without steam ("white flakes") are further processed to produce soy isolates or soy concentrate.

A.6.4 Plant protein (Isolate)

A GC-HS-MS method for the analysis of 2-MeOx in protein isolate was developed and validated by Eurofins (Eurofins 2019); the study was GLP compliant and in accordance with ICH validation guidelines. The method was validated to \leq 1 ppm. The validation results are described in Table $A.6.4-1.$

Validation headings	Parameters studied and acceptance criteria	Result obtained
Specificity	No significant interference with the peaks of 2- MeOx Rs peaks of interest / closest peaks ≥ 1.5 in standard and sample solutions.	Blank: no interference $Rs \leq 1.5$ for 2-MeOx peak but integration remains appropriate for quantification Method is considered as specific
LOD/LOQ	LOD reported for information $\text{LOQ} \leq 1$ ppm	$LOD = 0.004$ ppm $LOQ = 0.01$ ppm
Linearity of response (response function)	3 series of 5 different concentrations were performed (from 10 to 120 %) the equation of the calibration curve is calculated and $r \ge 0.98$.	$Y = 63354 + 18306500 X$ $r = 1.00$ With $Y = Area$ and $X = concentration$ in µg/mL Intercept $\approx 0 \rightarrow$ quantification can be performed with a standard solution at 100%
Accuracy	At 10 % Level Individual recovery ≥ 50 % Mean recovery ≥ 70 % At 100 and 120 % Level Individual and mean recoveries [70 - 130 %]	At 10 % Level Individual recovery ≥ 60 % Mean recovery = $76%$ At 100 and 120 % Level Individual recoveries [70 - 130 %] Mean recovery at 100 $% = 83$ % Mean recovery at 120 $% = 96 \%$
Repeatability and <i>intermediate</i> precision	$RSDr \leq 15\%$ $RSDIP = NA$	$RSDr = 12%$ $RSDIP = 14 %$

Table A.6.4-1. method validation for detection of 2-MeOx in plant protein isolate

Due to the variability in repeatability, for routine analysis, three preparations will be analysed.

3.3.2.B Information related to the safety of 2-methyloxalane

B.1 Industrial use of 2-methyloxalane

In the European Union (EU), 2-MeOx has been registered under EC (No) 1907/2006 of the European Parliament and of the Council on the Registration, Evaluation, Authorisation and Restriction of Chemicals (REACH). It is registered for annual quantities above 1000 tonnes for its use as a solvent for chemical synthesis including fine chemicals, agrochemicals, and pharmaceuticals. A comprehensive hazard identification and risk assessment has been conducted as part of this process.

The REACH process requires companies registering to conduct hazard identification and risk analysis according to the specified guidelines. The ECHA does not evaluate all REACH dossiers however, as part of their assessment a request was made by the ECHA to conduct additional animal work. In order to fully assess this request, the ECHA will have reviewed the data within the dossier.

The submitted hazard classification, made according to (EC) No 1272/2008) on the classification, labelling and packaging of substances and mixtures (CLP Regulation) was

Acute Toxicity category 4 H302: Harmful if swallowed. Skin Irritant category 2 H315: Causes skin irritation.

Flammable liquid category 2 H225: Highly flammable liquid and vapour. Eye Damage category 1 H318: Causes serious eye damage.

The information presented in this dossier agrees with the CLP classification derived from the data submitted for REACH.

The REACH process results in the identification of Derived No Effect Levels (DNEL), for workers and for consumers. The DNEL for oral exposure was calculated to be 1.25 mg/kg/day. The following values were derived; note that REACH is very prescriptive in the use of assessment factors.

Route / Type of effect	DNEL derivation	Assessment factors (AF) for DNEL derivation
Inhalation Systemic effects - Long-term 200.196 mg/m ³	DNEL derivation method: ECHA REACH Guidance Dose descriptor starting point: NOAEC 9960 mg/m ³ adjusted for rate and volume to 5004.9 mg/m ³	AF for difference in duration of exposure: 2 (Default (subchronic to chronic)) AF for other interspecies differences: 2.5 (Default) AF for intraspecies differences: 5 (Default) AF for the quality of the whole database: 1 Overall Assessment Factor: 25
Inhalation Systemic effects - Acute 200.196 mg/m ³	DNEL derivation method: ECHA REACH Guidance	Overall Assessment Factor: 25
Dermal Systemic effects - Long-term 3.26 mg/kg bw/day	DNEL derivation method: ECHA REACH Guidance Dose descriptor starting point: NOAEL 250 mg/kg bw/day	AF for difference in duration of exposure: 2 (Default (subchronic to chronic)) AF for interspecies differences (allometric scaling): 4 (Default (oral rat to dermal human)) AF for other interspecies differences: 2.5 (Default) AF for intraspecies differences: 5 (Default) AF for the quality of the whole database: 1 Overall Assessment Factor: 100
Dermal Systemic effects - Acute 3.26 mg/kg bw/day	DNEL derivation method: ECHA REACH Guidance	Overall Assessment Factor: 100

Table B.1-1. Hazard conclusions and DNELs for Workers

Table B.1-2. Hazard conclusions and DNELs for the general population

B.2 Use of 2-methyloxolane as a processing aid in other countries

Pennakem is seeking authorisation to use 2-MeOx as a processing aid in the European Union (EU) and in the United States (US). The European Food Safety Authority has published a positive opinion on the safe use of 2-MeOx as a processing aid and approval for use in the EU is expected to be made official before the end of 2022.

Approval in the US is ongoing and expected to be released in 2022 for the Food applications (Food Contact Substance) and in early 2023 for the Feed application (Feed Additive Petition).

The International Council for Harmonisation of Technical Requirements for Pharmaceuticals for Human Use (ICH), in its Quality Guideline for Residual Solvents (Q3C R8) has adopted a proposal to include 2-MeOx as a low toxicity (Class 3) residual solvent for use in pharmaceutical products; after an April 2021 worldwide call for comments.

In the pharmaceutical industry 2-MeOx has been proposed as a suitable replacement for the solvent tetrahydrofuran. 2-MeOx has been used as a process solvent for the production of pharmaceuticals intermediates since 2007. Not being listed in the Q3C residual solvent guideline until recently, 2-MeOx was used for the production of early intermediates. Inclusion of 2-MeOx as a Class 3, low toxicity residual solvent in the quality guideline facilitates the use of 2-MeOx more broadly in a pharmaceutical context. As part of the ICH's consideration of 2-MeOx, a Permitted Daily Exposure level was calculated. This is described in more detail in section B.5.

B.3 Toxicokinetics and metabolism of 2-methyloxalane

Henderson et. al. (2007) investigated the absorption, metabolism, distribution and elimination (ADME) of single oral and intravenous doses of 2-MeOx, and the disposition of a single intravenous dose of 14C- 2-MeOx was determined in rats and mice. Male Fisher (F344) rats and B6C3F1 mice were administered 1, 10 or 100 mg ¹⁴C- 2-MeOx /kg orally or 1 mg ¹⁴C- 2-MeOx /kg intravenously. The vehicle for the oral dose was water and 0.9% saline was used for intravenous administration. Four animals/group were used.

Dose levels were chosen to provide a range to include one high dose, which was believed to be above the level able to be completely metabolized by the animals, and sufficient to detect overt toxicity of the compound. It should be noted that no toxicity was observed in the study, including gross tissue examination.

Tissues and body fluids sampled included urine, faeces, blood, expired air, adipose (perirenal, reproductive), muscle (hind leg, trapezius), skin (ears), brain, heart, lung, spleen, kidneys, testes, liver, small intestines and contents, large intestine and contents, stomach and contents, urinary bladder and carcass.

Urine samples were taken 3 (IV only) 6, 12, 24, 48 and 72 hours after dosing. Bladder urine also collected. Faeces samples were taken 12, 24, 48 and 72 hours after dosing. Expired air traps were changed 3 (IV only), 6, 12, 24, 48 or 72 hours after dosing. Metabolite characterisation studies were conducted on individual samples of urine and expired air at the time points given above. Analysis was conducted by HPLC, HPLC-MS-MS, Liquid scintillation counting.

Results

2-MeOx was 93-100% absorbed, and rapidly metabolized in both rats and mice. The major routes of excretion were via urine in mice, and exhalation in rats. The amount of radioactivity remaining in the tissues at the time of euthanasia was less than 8% in mice and from 8 to 22% in rats. In the rat, muscle and skin samples had the highest retained radio activity $(3 - 6%)$ but no internal organ accumulated radioactivity. Table 3.1.B-1 and Table 3.1.B-2 lists the results for recovery of radioactivity from oral and intravenous exposure respectively.

Urinalyses: In mouse urine, the retention times (and percent injected radioactivity) of the three major peaks observed were 3.01 min (6%), 4.02 min (29%), and 5.15 min (59%). In all studies, the measurable radioactivity in the three peaks declined to near baseline by 24 h. In contrast, the 6 h urine samples from rats following a single oral dose of 100 mg/kg showed one prominent peak of approximately 5 min retention time. An additional peak was seen at 3 min, but no clearly defined radioactive chromatographic peaks were observed in urine taken at later time points.

HPLC radio chromatograms of urine samples collected over timed intervals following a single intravenous dose of 1 mg/kg ¹⁴C- 2-MeOx to rats and mice were similar to that seen following oral dosing. In mouse 6h urine samples, the observed three major peaks had retention times (and proportion of radioactivity) of 2.73 min (14.7%), 3.70 min (46.4%) and 4.59 min (34.3%). In 6 h urine samples from rats following a single intravenous 1 mg/kg dose, two peaks were observed at retention times of 2.77 and 4.51 min (with injected radioactivity of 35.1% and 60.2%, respectively).

nc - not collected, first collection at 12 hours

nc - not collected, first collection at 12 hours

Following oral dosing, the major urinary metabolites in mice eluted at approximately 3, 4 and 5 min, while, in rats, only 2 major metabolites were shown, eluting at approximately 3 and 5 min. All peaks in both species eluted much earlier than 2-MeOx, suggesting that they are more polar than the parent compound. HPLC analysis of derivatized urine samples from both species showed no evidence of levulinic acid as a metabolite of 2-MeOx in the urine. Studies in which the urine samples were incubated with beta-glucuronidase indicated that the metabolites were not glucuronides. Because the ¹⁴C-label was in the methyl group the polar metabolites are likely due to the one-carbon unit getting into the metabolic pool and labelling intermediate dietary metabolites or even urea.

Analyses of ¹⁴C- 2-MeOx -derived material in expired air: The excretion of exhaled volatile organic compounds (VOC) was dose-dependent in both species; at lower doses exhaled VOC represented 1-5% of dose, but at the highest dose (100 mg/kg) this proportion rose to 14% (mice) and 27% (rats). Analysis of the VOCs exhaled at the high dose indicated that the increase was due to exhalation of the parent compound; suggesting unchanged 2-MeOx is exhaled only when normal metabolism is overwhelmed.

The nature of the ¹⁴C- 2-MeOx -derived material captured in the VOC traps was investigated by HPLC and by LC/MS/MS analysis. One major HPLC peak was seen at 13.42 min, which was consistent with the retention time of ${}^{14}C-$ 2-MeOx analyzed under identical conditions – this demonstrates that unchanged test material was expelled from the lungs. This analysis was done for rats only as for mice the levels were low and less reliable.

LC/MS/MS analysis revealed one major peak at a mass-to-charge ratio of 87.1 (m/z amu), consistent with 2-MeOx (FW + l), with an intensity of approximately l.7E+06, which is well above background. In the multiple response monitoring mode, a parent-daughter ratio of 87.0/69.21 (Ql/Q3 masses, amu) was observed and was consistent with the ratio obtained with the 2-MeOx standard, confirming the presence of $14C - 2$ -MeOx in exhaled breath, particularly at 100 mg/kg when normal metabolism may have been overwhelmed.

There were two indications that mice have a higher capacity to metabolise 2-MeOx than rats, (i) at all doses, mice had cleared a slightly higher percentage of the dose than rats by 24 h, and (ii) rats given the high dose cleared a higher dose percentage as exhaled parent compound than did mice.

Conclusion

Based on recovery of radioactivity in excreta (other than faeces) and tissues (other than gastrointestinal tract), absorption or orally administered 2-MeOx was essentially complete (93- 100%) and low bioaccumulation potential. There were no overt signs of toxicity observed at any dose. The studies confirm that following oral exposure in the mouse or rat there is almost complete absorption, making both species good models for the assessment of systemic toxicity.

$B.4$ **Toxicity of 2-methyloxolane**

Pennakem has commissioned a series of toxicity studies for 2-MeOx and identified other published studies relating to the toxicity of 2-MeOx. The categories of toxicity studies addressed in this application are highlighted below:

Note: Long-term toxicity and carcinogenicity studies are not considered necessary for 2-MeOx, based on a clear no observed effect level (NOEL) being identified from a 90-day study, high dose toxicity being limited to the liver (changes are reversible and most likely associated with increased metabolism), and the substance not being genotoxic.

B.4.1 Acute toxicity

Summary of acute toxicity studies:

$B.4.1.1$ Acute oral toxicity

Results

Effect levels (based on 95% CL): Female LD50 > 300 mg/kg bw test material

The one animal dosed at the 2000 mg/kg bw dose level was killed due to severity of clinical signs thirty minutes after dosing. These signs included laboured respiration, decreased respiratory rate, hypothermia and pallor of the extremities and the test animal was also comatose. Haemorrhage and epithelial sloughing of the non-glandular epithelium of the stomach and clear fluid present in the stomach were noted at necropsy.

There were no deaths at the 300 mg/kg bw dose level. No signs of systemic toxicity were noted during the observation period.

All animals showed expected gains in bodyweight over the observation period. No abnormalities were noted at necropsy in the animals dosed at 300 mg/kg bw.

Conclusion

The acute oral LD50 was estimated to be in the range of 300 - 2000 mg/kg bodyweight, as determined in a reliable study conducted according to current OECD quideline and in compliance with GLP.

This study is cited as a secondary reference, although the limitations of the reporting of the study suggest it is of limited value in the context of evaluating acute oral toxicity of 2-MeOx, particularly given the availability of the Harlan 2013a study. An oral LD₅₀ in the rat was found to be 3800 mg/kg bw (based on 95% CL).

The study also identified an inhalation LD_{50} in the rat was found to be 22 mg/L air (based on 95% CL) following 4 hours exposure to the vapour.

B.4.2 Short-term / subchronic toxicity

Summary of short-term oral and inhalation toxicity studies:

The subchronic toxicity of 2-MeOx has been investigated in the rat via the oral and inhalation routes. There are two oral studies. The publication by Antonucci (2011) uses only a high dose level of 26 mg/kg/day and this is also identified as the NOAEL. There is limited information available for this study. The publication by Parris et al. (2017) derives a NOAEL of 250 mg/kg/day. This was a GLP study conducted to support the use of 2-MeOx as a solvent for pharmaceutical manufacture. There is no access to the full report, but detailed group mean data is presented for the parameters assessed in a peer reviewed journal and the results are considered to be very reliable. In addition, this data from the oral study is most relevant to human risk assessment from dietary exposure.

In the oral study (Parris et al. 2017), there were no treatment related mortalities. The 1000 mg/kg/day dose was associated with a slight decrease in male weight gain, and, in both sexes, effects associated with the liver (increased liver weight, minimal/mild centrilobular hypertrophy and increased serum cholesterol). At 500 mg/kg/day there was a slight increase in liver weight but no corresponding pathology. Otherwise, these high dose levels were well tolerated, and clinical signs were restricted to pre and post dose salivation in limited animals at both dose levels. It is not surprising to see effects in the liver at high doses; it has previously been shown that 93 – 100 % of 2-MeOx is absorbed following oral administration (Henderson et al., 2007) and the effects may be due to first pass metabolism. These findings were not observed at lower doses, in control animals and in the recovery groups.

No significant ophthalmic or haematologic changes were reported. A treatment related increase in serum cholesterol was observed in both sexes at 1000 mg/kg bw/day, with complete reversal reported at the end of the treatment-free period.

250 mg/kg/day was a clear NOAEL for oral exposure.

The oral study was conducted according to pharmaceutical requirements and does not address all of the endpoints given in the revised OECD 408 guideline for oral sub chronic toxicity of chemicals. However, the following endpoints were included in a second sub chronic study (Envigo 2019a) conducted via the inhalation route according to OECD 413 (note the additional endpoints below are not a usually a requirement OECD 413 guideline for sub chronic inhalation toxicity):

- Functional observations battery (FOB)
- Estrous cycle monitoring
- Sperm analysis

A preliminary dose range finding study (Envigo 2018a) demonstrated a dose of 19.7 mg/L induced severe clinical signs justifying early termination of this dose group. The follow-up study (Envigo 2019a) was performed according to OECD TG. The animals (Han Wistar rats) were exposed nose-only for 13 weeks at concentrations of 0, 2, 4.5 and 10 mg/L.

For the highest dose group of 10 mg/L, no mortalities or clinical signs were reported, and there were also no histopathological changes in the CNS or peripheral nerves. Transient lower body weight gains were noted for males exposed to 10 mg/L, but terminal weights were within 5% of control values. Irregular oestrus cycles were seen in all treated groups and a shift in cycle length from 4 to 5 days was observed in females exposed to the highest concentration. There were no treatment related histopathology findings, including in the thymus. In bronchoalveolar lavages, the cell counts, total protein and lactate dehydrogenase activities were not changed. The analysis of thyroid hormones (T_3 and T_4) was unchanged.

The 10 mg/L dose was associated with some effects that were considered to be non-adverse and included transient unsteady gait and excessive salivation which were observed at routine observations; during the FOB in week 13 abnormal gait/posture was also noted in females at this dose level. Liver weight was also slightly elevated at 4.5 and 10 mg/L, but there was no corresponding histopathology, and the observation was again considered to be non-adverse. Although it was not quantified, inhalation exposure may increase the systemic exposure to the parent compound, 2-MeOx, compared to oral exposure where the majority of the parent compound is subject to first pass metabolism. As clinical signs following oral exposure to 1000 mg/kg/day were restricted to excessive salvation, the observation of unsteady gait following inhalation exposure is considered to be without relevance when considering oral exposure in humans.

The inhalation study did include sperm analysis, but the percentage of normal sperm was lower in all males, including controls. Microscopically, atrophy of the testis was noted in control and treated animals and has previously been attributed to increased body temperatures and thermal stress during inhalation administration (Lee et al 1993); there was also no change in testes weight in the 13-week study by Parris (2017). There were no clear test material effects on sperm analysis. At 10 mg/L, estrous cycle monitoring found a slight increase in irregular cycling in the treated females compared to controls, and a shift in the regular cycle length, from 4 to 5 days was noted in the high dose group. As all females continued to cycle these findings were considered not to be adverse. There was also a slight increase in bodyweight gain in this female dose group.

Taking into consideration all studies, the oral NOEL for repeat dose exposure is considered to be 250 mg/kg/day.

Toxicokinetic assessment confirms that 2-MeOx is readily absorbed from the gastrointestinal tract and therefore the effects of unabsorbed material do not need to be investigated.

Greater detail on these studies is provided in Appendix G.

B.4.3 Genotoxicity

Summary of genotoxicity studies:

The genotoxicity of 2-MeOx has been investigated by the National Toxicology Programme (NTP) and by the pharmaceutical company Merck.

Seifried et al. (2006) presents a review of historical genotoxicity data conducted by the NTP; only summary results are presented in the paper, but these confirm that 2-MeOx was negative in the Ames test for bacterial mutagenicity and the Mouse Lymphoma Assay for mammalian mutagenicity. The actual study data for the Ames test is also available on the NTP web site for independent review. The NTP works to standard protocols and the data generated is considered to be suitable for risk assessment, so these data are considered reliable and demonstrate a lack of mutagenicity in bacterial and mammalian cell systems.

Antonucci (2011) summarised the comprehensive investigation of genotoxicity conducted by Merck. These studies were done to GLP and to OECD guidelines, they were conducted to support the use of 2-MeOx as a greener solvent for pharmaceutical manufacture. Unfortunately, the individual data is not presented for review in the publication, but they concluded that 2-MeOx was negative in the Ames test, did not induce cytogenicity in human lymphocytes, and did not increase

the incidence of micronuclei in an in vivo test in rats. The individual data cannot be reviewed independently, but the conclusion supports that of Seifried (2006) that 2-MeOx is not genotoxic.

Gentoxicity studies are summarised briefly below, with additional detail provided in Appendix G.

B.4.3.1 In vitro bacterial mutation

Briefly, Seifried describes an Ames test (pre-incubation method) conducted using S. typhimurium TA 1535, TA 97, TA 98 and TA 100 with and without metabolic activation (Aroclor induced rat liver S9 or Hamster S9 at 10 and/or 30%) at doses of 10-10000 μg/plate. The vehicle was water. A maximum dose of 10,000 μg/plate was used, and the test was not limited by cytotoxicity. All results were negative (with and without metabolic activation). Antonucci et al. (2011).

Antonucci et al. (2011) describes an Ames test conducted using S. typhimurium strains TA 1535, TA 1537, TA 98, TA 100 and E. coli WP2uvrA at up to 5490 µg/plate. The plate incorporation method was used with and without S-9 metabolic activation; sue to the volatility of the test item plates were sealed in air-tight bags during incubation. The sensitivity of the system and activity of the S9 mix was demonstrated by the results of positive controls. No substantial increases in the revertant colony counts were observed and there was no apparent toxicity to the bacteria. There was no evidence of mutagenic activity in this in vitro guideline test bacterial mutations.

B.4.3.2 Mammalian gene mutation test

The mutagenic potential of 2-methyloxolane (purity 99.5%) was investigated in the TK+/TK- gene mutation assay in L5178Y mouse lymphoma cells. The study (Harlan 2013e) was designed to be compatible with the OECD TG 476 (OECD, 1997b) and GLP. Two experiments were performed using the same protocol (same dose range in the presence and absence of metabolic activation), with the exception of the amount of rat liver S9-mix (1% vs. 2%) and the increased time of incubation (4 vs. 24 h) in the absence of metabolic activation in the second experiment. The doses of the test item (63.75, 127.5, 255, 510, 765, 1,020 µg/mL) were chosen based on the results of a preliminary cytotoxicity test.

No precipitation of the test item was observed at any dose level. No evidence of marked toxicity, as measured by relative suspension growth (% RSG) and relative total growth (% RTG) was observed in any experimental condition. 2-Methyloxolane did not induce any increase in the mutation frequency at any tested dose with or without metabolic activation in either experiment. No variation in the percentage of large and small colonies was observed in any experimental condition.

The test item did not induce any increase in mutation frequency at the TK+/TK- locus in L5178Y cells under the experimental conditions employed in this study. That is, there was no evidence of mutagenicity in mammalian cells at concentrations up to the limit of 10 mM (1020 µg/ml).

B.4.3.3 In vitro micronucleus test

Envigo (2019b) describes an in vitro micronucleus assay carried out in human peripheral blood lymphocytes according to OECD TG 487 (OECD, 2016) and following GLP. In a preliminary cytotoxicity test, the highest concentration recommended by the OECD guideline (10 mmol/L, equivalent to 860 lg/mL) did not induce relevant toxic effects. 2-Methyloxolane was then tested at 0, 26.88, 53.75, 107.5, 215, 430 and 860 lg/mL. No precipitation of the test item was observed in the cultures at the end of the exposure at any dose level in any exposure group.

Three exposure conditions were used in two experiments: (1) 4 h without metabolic activation (S9-mix); (2) 24 h without S9-mix; (3) 4 h with 2% S9-mix. To induce binucleated cells, cytochalasin B (4 µg/mL) was added during the recovery period (24 h in all the exposure conditions). No toxicity was observed in any experimental condition. No increase in the micronucleus frequency was observed after treatment with 2-methyloxolane in any experimental condition.

The test item did not induce any increase in micronuclei in cultured human peripheral blood lymphocytes under the experimental conditions employed in this study.

B.4.3.4 Other tests

Antonucci et al. (2011) describes two studies performed by Merck assessing effects of 2-MeOx on chromosomal aberration in human peripheral blood lymphocytes and an in vivo micronucleus assay conducted on rat bone marrow. No evidence of toxicity and no statistically significant increases in the proportion of cells with chromosome aberrations were found in human blood lymphocytes that were stimulated into division in culture and treated with 2-MeOx at up to 1.7 mM. 2-MeOx was also found to not induce micronuclei in rat bone marrow after 3 months treatment at up to 25 mg/kg/day

B.4.3.5 Genotoxicity conclusion

2-MeOx did not induce gene mutations in bacteria either in the presence or the absence of metabolic activation (Ames test). Negative results were also reported in two well-conducted in vitro studies in mammalian cells: an in vitro micronucleus assay carried out in human peripheral blood lymphocytes and a gene mutation assay at the TK+/- locus in L5178Y mouse lymphoma cells. 2-MeOx also returned negative results for chromosomal aberrations in human peripheral blood lymphocytes and did not induce micronuclei in rat bone marrow.

Pennakem considers 2-MeOx does not raise a concern for genotoxicity. This view has been supported in EFSA's assessment (EFSA 2022).

B.4.4 Reproductive and developmental toxicity

In the oral 90-day study in the rat (Parris et al. 2017) there was no reported effect on the weight of the reproductive organs, or histopathological changes in either sex at a dose of 1000 mg/kg/day.

In the 13-week inhalation study (Envigo 2019a), atrophy of the testis was noted in control and treated animals and sperm analysis found the number of normal sperm to be lower in all groups, including the controls. Testicular atrophy has previously been attributed to increased body temperatures and thermal stress during inhalation administration (Lee et al 1993). There were no clear test material effects on sperm analysis. At 10 mg/L, estrous cycle monitoring found a slight increase in irregular cycling in the treated females compared to controls, and a shift in the regular cycle length, from 4 to 5 days was noted in the high dose group. As all females continued to cycle these findings were considered not to be adverse. There was also a slight increase in bodyweight gain in this female dose group.

2-MeOx is readily absorbed from the gastro-intestinal tract and so Tier 2 reproductive and developmental testing should be considered. The usual strategy for this assessment is a prenatal developmental toxicity study (OECD 414) in the rabbit and an Extended One-Generation Reproductive study (EOGRTS) (OECD 443) in the rat. As part of the testing required for Regulation (EC) No 1907/2006 a prenatal developmental study (OECD 414) in the rat has been conducted. An EOGRTS, including all 3 optional cohorts, has also recently been completed.

End point	Method	Results	Reference
Preliminary Developmental toxicity Oral	Range-finding study rat (Sprague-Dawley Crl:CD (SD) IGS BR) female (oral gavage) 0, 250, 500 and 1000 mg/kg/day Exposure: Gestation Days 3 to 19	Maternal NOAEL: 1000 mg/kg/day Foetal NOEL: 500 mg/kg/day (reduced foetal weight)	Envigo (2018b)
Developmental OECD 414 toxicity Oral	rat (Sprague-Dawley Crl:CD (SD) IGS BR) female subchronic (oral gavage) 0, 100, 300 and 1000 mg/kg/day Exposure: Gestation Days 3 to 19	Maternal NOAEL: 1000 mg/kg/day (transient bwt reduction) Fetal NOAEL: 1000 mg/kg/day (slight reduction in fetal bwt) Fetal NOEL: 300 mg/kg/day	Envigo (2018c)
Preliminary Reproduction and Fertility	Range-finding study rat (Sprague-Dawley RjHand:SD) male and female (oral gavage) 0, 100, 300 and 1000 mg/kg/day Exposure: 2 weeks prior to mating and then continuously until termination of parental generation on day 21 post- partum and F1 offspring on Days 22 - 28.	NOAEL:100 mg/kg/day (pup survival, clinical effects and reduced pup bodyweight, minor effects at 300 g/kg/day)	Charles River (2020a)
Reproduction and Fertility (EOGRTS)	OECD 443 including all cohorts rat (Sprague-Dawley RjHand:SD) male and female (oral gavage) 0, 100, 250 and 625 mg/kg/day Exposure: 2 weeks prior to mating and then continuously until termination of parental generation, 1 st generation or 2 nd generation.	Systemic NOAEL males: 250 mg/kg/day (clinical signs at 625 mg/kg/day) Systemic NOAEL females: 625 mg/kg/day (no effects prior to parturition) Reproductive/developmental NOAEL: 100 mg/kg/day based on lower live birth index in parental generation, Developmental neurotoxicity testing NOAEL: 250mg/kg/day (highest dose fully tested) Developmental immunotoxicity testing NOAEL: 250mg/kg/day (highest dose fully tested)	Charles River (2020b)

Table B.4.4-1. Summary of Reproductive toxicity studies

EOGRTS: Extended One-Generation Reproductive Toxicity Study

Developmental toxicity was investigated in a GLP OECD 414 study in the rat. A clear NOAEL of 300 mg/kg/day for the survival, growth and development of the conceptus was identified. Observations at 1000 mg/kg/day were limited to a transient decrease in maternal bodyweight gain

(which was insufficient to exclude this dose level form being the maternal NOAEL) and a very slight reduction in foetal growth as evidenced by a 4 % decrease in mean foetal weight. Most importantly there were no internal or external effects on foetal development.

An EOGRTS, with all 3 possible cohorts, assigned a NOAEL of 100 mg/kg/day for reproduction and development; there were no effects on developmental neurotoxicity or immunotoxicity. Interpretation of the study was made more difficult because of the high prevalence of dystocia (difficult labour) which was noted in all groups including the controls. This is a very uncommon finding and was not a usual background observation in the laboratory; however, it was noted in a number of studies conducted in the same time period as the EOGRTS.

Although there were fewer pups at the 625 mg/kg/day dose level to fully investigate all 3 cohorts, there were sufficient at 250 and 100 mg/kg/day to fulfil the study requirements. The NOAEL of 100 mg/kg/day is based on the reduced live birth index observed at 250 mg/kg/day in the parental generation, this finding was not repeated at 250 mg/kg/day when the F1 generation gave birth. Hence a NOAEL of 100 mg/kg/day was considered a conservative value as the possible influence of the dystocia could not be determined.

B.4.4.1 Reproductive and developmental toxicity conclusions

Table B. 4.4.1-1 summarises the NOAELs, based on the EOGRT study described above. EFSA supported these NOAELs in its recently published opinion on 2-MeOx (EFSA 2022).

Endpoint	NOAEL (mg/kg bw per day)	Clinical observations
Systemic toxicity	250 (in males)	Hypoactivity, staggering gait, sudden startle and/or tremors at 625 mg/kg bw per day
	625 (in females)	No effects found before parturition
Reproductive and developmental toxicity	100	A decrease in female fertility index in cohorts 1A and 1B at 250 mg/kg bw per day
Developmental neurotoxicity	250	No effects (highest dose tested in cohort 2B)
Developmental immunotoxicity	250	No effects (highest dose tested in cohort 3)

Table B.4.4.1-1. NOAELs identified by endpoint in the EOGRT study

NOAEL: no observed adverse effect level; EOGRT: extended one-generation reproductive toxicity; bw: body weight.

Additional detail on reproductive and developmental toxicity studies is described in Appendix G.

B.4.5 Other toxicity studies

A variety of other toxicity studies have been conducted on 2-MeOx, primarily in the context of its use as an industrial chemical by workers. Studies investigating acute, in vitro and in vivo dermal toxicity, in vitro eye irritation and skin sensitisation do not raise concerns in the context of oral exposure to 2-MeOx, however details of these studies is included in Appendix H.

B.4.6 Overall conclusion on the safety of proposed uses of 2-MeOx

The data generated from animal models indicate that following oral exposure we can expect that 2-MeOx is rapidly, and almost completely, absorbed from the gastrointestinal tract. Excretion is via urine or as exhaled carbon dioxide; unchanged 2-MeOx is eliminated from the lungs only following exposure at very high doses (over 100 mg/kg/day in the rat), when metabolism is overwhelmed.

Acute oral and dermal toxicity is low and 2-MeOx was found to be irritating to the skin and eyes, but is not a skin sensitiser.

2-MeOx was negative in a range in vitro mutagenicity tests (bacterial and mammalian cells) and in an in vivo micronucleus test.

A comprehensive 13 weeks oral (gavage) study in the rat was completed to support the use of 2- MeOx for pharmaceutical manufacture using dose levels of 0, 80, 250, 500 and 1000 mg/kg/day. There was a slight decrease in male weight gain at 1000 mg/kg/day and the following reversible changes, associated with the liver were noted: increased serum cholesterol, increased liver weight and centrilobular hypertrophy. At 500 mg/kg/day changes were limited to increased liver weight. It is likely that these reversible changes are adaptive and associated with metabolism at high doses, but a conservative No Observed Effect Level of 250 mg/kg/day was established.

A nose-only inhalation study was also conducted and 10.0 mg/L was well tolerated and considered to be the NOAEC. This dose level was associated with some effects that were considered to be none adverse and included transient unsteady gait and excessive salivation which were observed at routine observations; during the FOB in week 13 abnormal gait/posture was also noted in females at this dose level. Liver weight was also slightly elevated at 4.5 and 10 mg/L, but there was no corresponding histopathology, and the observation was again considered to be non-adverse. Although it was not quantified, inhalation exposure may increase the systemic exposure to the parent compound, 2-MeOx, compared to oral exposure where the majority of the parent compound is subject to first pass metabolism. As clinical signs following oral exposure to 1000 mg/kg/day were restricted to excessive salvation, the observation of unsteady gait following inhalation exposure is considered to be without relevance when considering oral exposure in man.

In neither the 13-week oral nor inhalation studies were there any pathological changes in the reproductive organs. Sperm analysis was conducted in the 13-week inhalation study but the percentage of normal sperm was lower in all dose groups, including the controls and this has previously been attributed to increased body temperatures and thermal stress during inhalation administration (Lee et al 1993). In an oral (gavage) developmental toxicity study conducted in the rat there were no external, visceral or skeletal changes at doses up to 1000 mg/kg/day associated with 2-MeOx exposure. The NOAEL for the females was considered to be 300 mg/kg/day due to a slight decrease in weight gain at 1000 mg/kg/day; at 1000 mg/kg/day this resulted in a slight reduction in foetal weight but without an effect on survival or development. The results of an Extended One Generation Reproductive Toxicity Study support the NOAEL of 250 mg/kg/day for systemic toxicity; a high dose of 625 mg/kg/day was associated with some clinical signs.

The study included an assessment of developmental neurotoxicity and immunotoxicity where no signs were observed at 250 mg/kg/day; this was set as the NOAEL because not all investigations could be conducted at the higher dose of 625 mg/kg/day. The assessment of reproductive toxicity was confounded by a high background incidence of dystocia which was concluded to be a new genetic trend in the strain used as it also occurred in control animals. At 250 and 625 mg/kg/day there was a reduction in live birth index for the Parental generation; this was not repeated in the F1 generation and there were no other adverse effects on reproductive performance at 250 mg/kg/day. Consequently, a conservative NOEL of 100 mg/kg/day was selected. Therefore, Pennakem considers that 100 mg/kg/day provides a robust overall NOAEL for risk assessment from dietary exposure.

Pennakem has derived an acceptable daily intake (ADI) of 1.0 mg/kg/day (taking into account an uncertainty factor of 100 (x10 animal-to-human based on robust data base and x10 intra species sensitivity)).

B.5 Safety assessment reports by other agencies

European Food Safety Authority

The European Food Safety Authority (EFSA) Panel on Food Contact Materials, Enzymes and Processing Aids (CEP) published a safety assessment of Pennakem's 2-MeOx early in 2022 (EFSA 2022). The CEP assessed the safety of 2-MeOx as an extraction solvent at the following intended uses and proposed maximum residue limits:

These limits are similar to the permitted residue limits for hexane in the EU and recognise that the intended use of 2-MeOx is in processes that currently use hexane.

The CEP concluded that 2-MeOx is rapidly metabolised with a low bioaccumulation potential and does not raise genotoxicity concern. The CEP set a tolerable daily intake (TDI) of 1 mg/kg bw/day, based on the lowest identified NOAEL (100 mg/kg bw/day) for reproductive and developmental toxicity in oral toxicity studies. The TDI was not exceeded by any population groups at mean and 95th percentile dietary exposure. The CEP concluded that 2-MeOx does not raise a safety concern when used according to the intended uses and at the proposed MRLs in extracted foods and food ingredients.

In this application, Pennakem is requesting that FSANZ establish residue limits for 2-MeOx that correspond to the limits for hexane in section S18—8 of the Code; that is, 20 mg/kg. This is higher than the residue levels assessed by the CEP and is only requested by Pennakem because hexane is permitted to be present as a residue in foods at a higher level than in the EU. As noted in section 3.3.2.F of this application, a residue limit of 20 mg/kg in foods for 2-MeOx will facilitate the transition from hexane to 2-MeOx in existing extraction plants in Australia and New Zealand. Pennakem has conducted additional dietary modelling at the 20 mg/kg residue level to demonstrate that dietary exposure is still below the TDI of 1 mg/kg (more detail on dietary modelling assumption and results is provided in section F below).

International Council for Harmonisation of Technical Requirements for Pharmaceuticals for Human Use

The International Council for Harmonisation of Technical Requirements for Pharmaceuticals for Human Use (ICH) has conducted an assessment on 2-MeOx in the context of the use of 2-MeOx as a solvent in the preparation of pharmaceutical products. The ICH publishes a document titled, QC3(R8) 'Impurities: Guideline for residual solvents'. The ICH has recently (May 2021) updated the guideline to list 2-MeOx as a class 3 solvent (solvents with low toxic potential). The ICH uses a risk assessment process to categorise solvents used in the production of pharmaceutical products. As part of the ICH's consideration of classifying 2-MeOx, a Permitted Daily Exposure (PDE) was calculated (Parris et al. 2017). To enable the calculation of a PDE a GLP compliant 3 month repeat-dose toxicity study in rats (with a 1-month recovery period) was conducted using dose levels of 0, 80, 250, 500 and 1000 mg/kg/day (Parris *et al*. 2017). Administration of doses up to 1000 mg/kg/day was well tolerated and based upon minimal observed effects of hepatocellular centrilobular hypertrophy in the liver at 500 mg/kg/day and above, the NOAEL was considered to be 250 mg/kg/day. Using a safety factor of 250 a PDE of 50 mg/day (for an average 50 kg human) was derived to support usage in the pharmaceutical industry. This value was endorsed in the final ICH guideline (which concluded that the PDE of 50 mg/kg/day was applicable and placed the 2-MeOx into Class 3 'Solvents with low toxic potential'.

The pharmaceutical PDE value was calculated in the absence of any reproductive toxicity data. This application takes into account the possible effect on reproduction and has derived a lower NOEL of 100 mg/kg/day. Using this NOEL value, the PDE is re-calculated as follows, based on ICH Topic Q3C (R4) Impurities: Guideline for Residual Solvents (ICH 2009):

PDE =
$$
\frac{100 \text{ mg/kg/day} \times 50 \text{ Kg}}{5 \times 10 \times 1 \times 1 \times 1} = 100 \text{ mg/day}
$$

 $F1 = 5$ to account for extrapolation from rats to humans

F2 = 10 to account for differences between individual humans

F3 = 1 for reproductive studies in which the whole period of organogenesis is covered.

- F4 = 1 because no severe effects were observed
- F5 = 1 because a NOEL was established

In the original calculation an F3 value of 5 was used, to reflect that the NOEL was based only on 3-month data. The re-calculated value of 100 mg/day is higher than 50 mg/day and confirms that 2-MeOx should be in Class 3 'Solvents with low toxic potential' (all the solvents having a PDE >= 50 mg/day).

The study by Parris et al. (2017) is described in more detail in sections B.4.2 and B.4.4 above. No other regulatory evaluations or limits have been identified.

3.3.2.F INFORMATION RELATED TO THE DIETARY EXPOSURE TO THE PROCESSING AID

2-MeOx is a suitable replacement for the use of hexane in oil and protein extraction from vegetative sources (for example, corn, sunflower, rapeseed, soy etc). 2-MeOx could also be used to replace hexane and other solvents in food additives extraction (flavours, colours, antioxidants). 2-MeOx is not intentionally added to any food, but consideration must be given to the possible residues in processed foods. Extraction plants that currently use hexane as an extraction solvent will be producing food products that are compliant with the maximum permitted level of hexane, which is 20 mg/kg.

In order for these extraction plants to be able to transition to the use of 2-MeOx as a substitute for hexane, similar maximum permitted levels will initially be required. That is, a maximum permitted level of 20 mg/kg for 2-MeOx in foods will facilitate transition for extraction plants from using hexane to using 2-MeOx as an extraction solvent.

This strategy is inspired by the European Commission feedback to Pennakem's approval request for 2-MeOx in food. In the current discussions for the modification of the European law (Directive 2009/32/CE), the European authorities proposes for the 2-methyloxolane, the same Maximum Residue Limits (MRL) than the one in force for hexane.

It is likely that actual 2-MeOx residue levels in food will be below 20 mg/kg, particularly as uptake in the use of 2-MeOx increases and due to organoleptic reasons described below.

Extraction plants that are purpose built to use 2-MeOx as an extraction solvent will result in lower residue levels of 2-MeOx in final food products. However, the cost of constructing a purpose built 2-MeOx extraction plant may initially be prohibitive for in Australia and New Zealand.

In practice, for organoleptic reasons, in beverage or liquid food, food producers may have to limit the quantity of 2-MeOx residue to less than 1 mg/kg. For fats and oils, organoleptic tests have shown that levels as low as 5 mg/kg are detectable and associated with a poor taste. Sensory tests show that, unlike hexane, which is known to have a high smell and taste threshold, traces of 2-MeOx can be detected as low as 5 mg/kg. Two sensory tests were conducted, according to ISO 11035, using standard edible soy oil and rapeseed oil spiked with known quantities 2-MeOx (0, 0.1, 0.25, 0.5, 1 and 5 mg/kg) (ITERG 2018a, ITERG 2018b). The only residue associated with poor taste was 5 mg/kg. Level of residues below 1 mg/kg can be reached without any difficulties in liquids by a standard refining process and delivers oils with good organoleptic properties.

The smell and taste thresholds are higher in solid food than in liquid. The threshold depends on the composition of the food, but it is always lower than for hexane.

From a public health point of view, unlike hexane which cannot be detected in an oil even at level as high as 25 mg/kg, the low taste threshold for 2-MeOx brings additional safety to prevent producers from selling oils from which EcoXtract® has not been properly removed. The taste threshold has not been fully characterised in solid food, but it is well known for aroma that the taste threshold in solid food is higher than in liquid food. Moreover, the smell of the 2-MeOx is described in the same report as strong and unpleasant. The medium ODT combined with the bad taste will represent a strong incentive to remove properly the 2-MeOx from the liquid and solid extraction products.

Pennakem has conducted conservative dietary exposure estimates, based on dietary intake data in the EU and on the presence of 2-MeOx in foods at 20 mg/kg and compared these estimates to the TDI in humans of 1 mg/kg bw/day (set in the EFSA CEP's assessment (EFSA 2022)). Pennakem expects these estimates to be similar for Australian and New Zealand populations but does not have access to similarly detailed dietary intake data for local populations. Pennakem's initial dietary exposure estimates identified that the presence of 2-MeOx in infant formula and foods for infants may present a scenario where high consumers are above the TDI. Reducing the presence of 2-MeOx in infant formula products and foods for infants to 5 mg/kg reduced the estimate of dietary exposure to below the ADI for high consumers (up to 0.8 mg/kg bw/day at the 95th percentile for infants).

2-MeOx is not likely to be used in all foods because the production of food does not always require the use of extraction solvents, such as 2-MeOx. Based on available market data, the likely use pattern of food subject to this type of processing and the proposed limits defined above, Pennakem considers the food categories and limits described in section F.1 are most likely representative of intended future uses of 2-MeOx in Australia and New Zealand (food categories are based on the food classification system for food additives described in Schedule 15 of the Code). Table F.1-1 lists the food groups and maximum residue levels of 2-MeOx in these foods. This list provides a more complete picture of potential uses and residues of 2-MeOx in foods for use by FSANZ in its dietary exposure assessment (and reflects the levels used in Pennakem's dietary exposure assessment using EU dietary intake data).

Despite the conservative nature of the dietary exposure assumptions, the presence of 2-MeOx in foods at 20 mg/kg does not present a risk to consumers.

A list of foods or food groups likely to contain the processing aid $F.1$

Pennakem is requesting a residue limit for 2-MeOx in foods of 20 mg/kg, with the exception of infant formula and foods for infants, which are requested to have a lower residue limit of 5 mg/kg. For the purposes of dietary modelling, Pennakem has prepared a list of food categories that are most likely to be subject to the use of 2-MeOx as an extraction solvent (Table F.1-1).

F.2 The levels of residues of the processing aid for each food or food group

Information on levels of residues of 2-MeOx in foods is included in section F.1 above.

F.3 Likely level of consumption of foods not listed in ANZ NNSs

Demand for plant-based, or alternative, proteins as substitutes for traditional animal-based proteins has increased recently worldwide. However, the market is still considered small domestically, particularly compared to the US (Admassu et al. 2020). According to a report from Admassu et al. (2020), the largest market share for alternative proteins is dairy milk analogues (9% in Australia). While consumption is reported in Australian and New Zealand national nutrition surveys for products such as nut milks, it is possible that increased consumption of some more contemporary foods based on plant proteins is not reflected in this consumption data. However, on a population level, Pennakem does not expect the impact of this to be significant in the context of dietary exposure calculations for 2-MeOx (or other extraction solvents) at the present time.

F.4 Percentage of food group in which 2-MeOx is likely to be used

Pennakem's production capacity is currently only a very small fraction of the production of hexane around the world. Approximately 1.1 million tonnes of hexane is produced worldwide per year. Pennakem currently produces 5000 tonnes of 2-MeOx per year. Pennakem has projected less than 3% market share of the chemical extraction market on a global scale, based mainly on the production capacity of 2-MeOx. Pennakem considers the market situation in Australia and New Zealand is likely to mirror the global situation, suggesting that market penetration of the use of 2- MeOx as a processing aid in comparison to hexane is unlikely to exceed 3% of the market in the short to medium term. As production capacity increases, market share could also increase proportionately.

F.5 Information relating to levels of residues in foods in other countries

It is important to note that in Europe, the Maximum Residue Levels- (MRLs) authorised for hexane are much lower than the one in force in Australia and New Zealand. These hexane MRLs are reported in the 2009/32/CE Directive as follows:

In Annex I to Directive 2009/32/EC:

(a) In Part II, the following entry is found:

(b) In Part III, hexane is inserted in the following table:

Based on the March 2022 EFSA positive opinion and the Tolerable Daily Intake already mentioned, the European Authority proposes to modify the law as follows:

Annex I to Directive 2009/32/EC is amended as follows:

'

(a) In Part II, the following new entry is inserted after the entry for hexane:

(b) In Part III, the following new entry is inserted after the entry for hexane:

The amended law should be published at the beginning of 2023.

F.6 Information on likely levels of consumption of foods for which consumption has changed in recent years

Section F.3 has addressed this in the context of increasing popularity of plant-based foods.

F.7 Additional dietary exposure information

As noted in the introduction to section 7, Pennakem has completed dietary exposure calculations for 2-MeOx based on the food categories and residues listed in Table F.1-1. A complete list the food categories and residue levels used in Pennakem's dietary exposure calculations are provided below in Table F.7-2 and is based on EFSA's food additive intake model (FAIM).

The dietary exposure estimates are listed in Table F.7-1. The results are presented as minimum and maximum mean and minimum and maximum 95th percentile values for a range of population groups.

All average exposure levels are less than 50% of the TDI of 1 mg/kg bw/day. The max $95th$ percentile estimate of exposure for infants is the highest (0.839 mg/kg bw/day) but still below the TDI. Given the conservative nature of the assumptions used in the calculation of dietary exposure estimates, actual exposure to 2-MeOx from its use as an extraction solvent in the preparation of foods is likely to be significantly lower than presented in Table F.7-1, which reinforces the safety of use of 2-MeOx at the intended use levels of 5 mg/kg in infant formula products and infant foods and 20 mg/kg in other foods.

Table F.7-1. Minimum and maximum mean and 95th percentile dietary exposure estimates per population group (mg/kg bw/day)

Notes on assumptions:

These dietary exposure estimates are likely to be overestimates of actual dietary exposure to residues of 2-MeOx. The estimates make a number of conservative assumptions:

- 2-MeOx is used at a level resulting in residues of 20 mg/kg in all foods (and 5 mg/kg in infant formula products and foods for infants).
	- o actual use levels are likely to result in much lower residue levels; and
	- o not all foods in each category will use 2-MeOx as an extraction solvent.
- Consumers only consume foods containing 2-MeOx residues at the maximum levels
	- As noted above, not all foods will be produced using 2-MeOx as an extraction \overline{O} solvent because other extraction solvents are permitted and available to food producers.
- The EU food consumption data is based on one or two days of consumption which can result overestimates of the habitual consumption of foods.

The food categories listed in Table F.7-2 are based on the EU food categorisation system. The food categories listed in Table F.1-1 have been adapted from the EU categorisation system to reflect the categories used in Australia and New Zealand.

Table F.7-2. Occurrence level per food category (mg/kg)

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